

# Cost-Effective Comprehensive Mental Health Care for People with HIV: A Critical Component To Ending the HIV Epidemic

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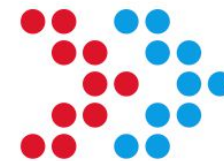
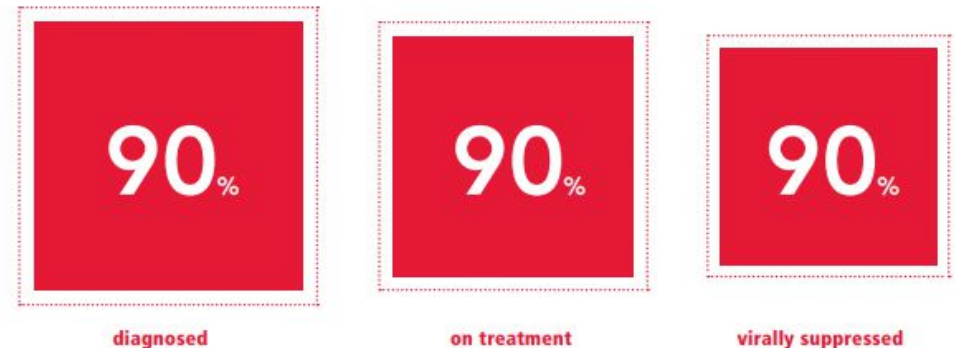
No conflicts to report

11<sup>th</sup> International Symposium on Neuropsychiatry & HIV  
BCN – May 19, 2018

 COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

# Why focus on mental health in the context of HIV prevention and care?

- Significant gaps along HIV care continuum
- Mental illness influences every step
- PLWHA have significantly higher rates of mental health disorders
- If we do not address mental health, unlikely to achieve “90-90-90” goals or end the HIV epidemic “EtE”
- The human right to health means that everyone has the right to the highest attainable standard of physical AND **mental health**



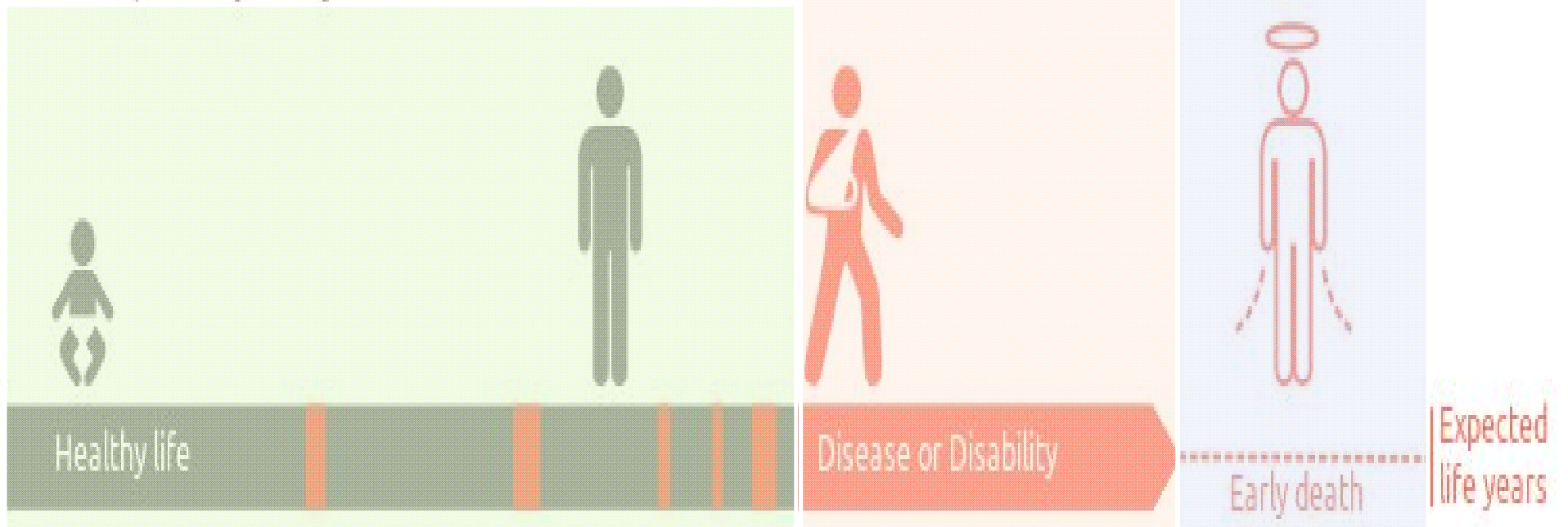
**FAST-TRACK**  
ENDING THE AIDS EPIDEMIC BY 2030

# Global Burden of Mental Illness

(independent of HIV)

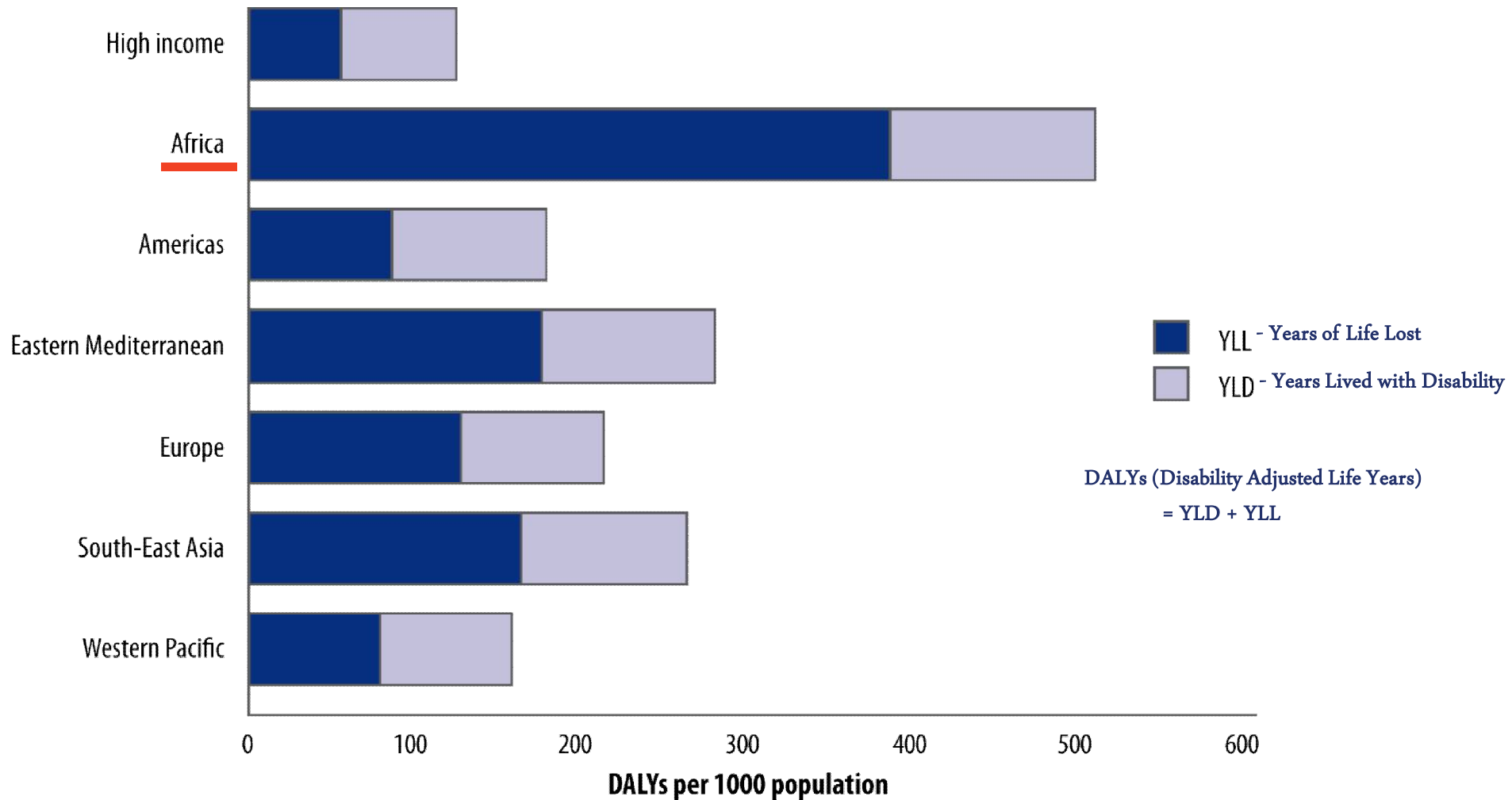
# DALY

**Disability Adjusted Life Years** is a measure of overall disease burden, expressed as the cumulative number of years lost due to ill-health, disability or early death

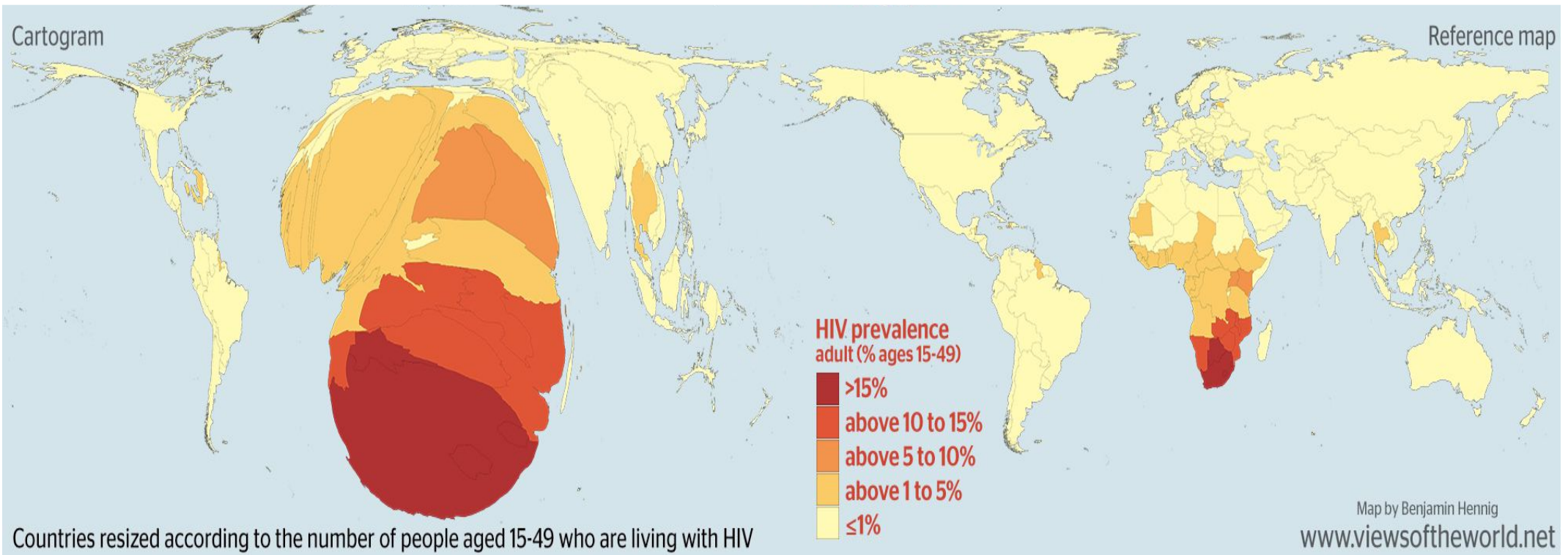


## BURDEN OF DISEASE

# YLL, YLD and DALYs by region



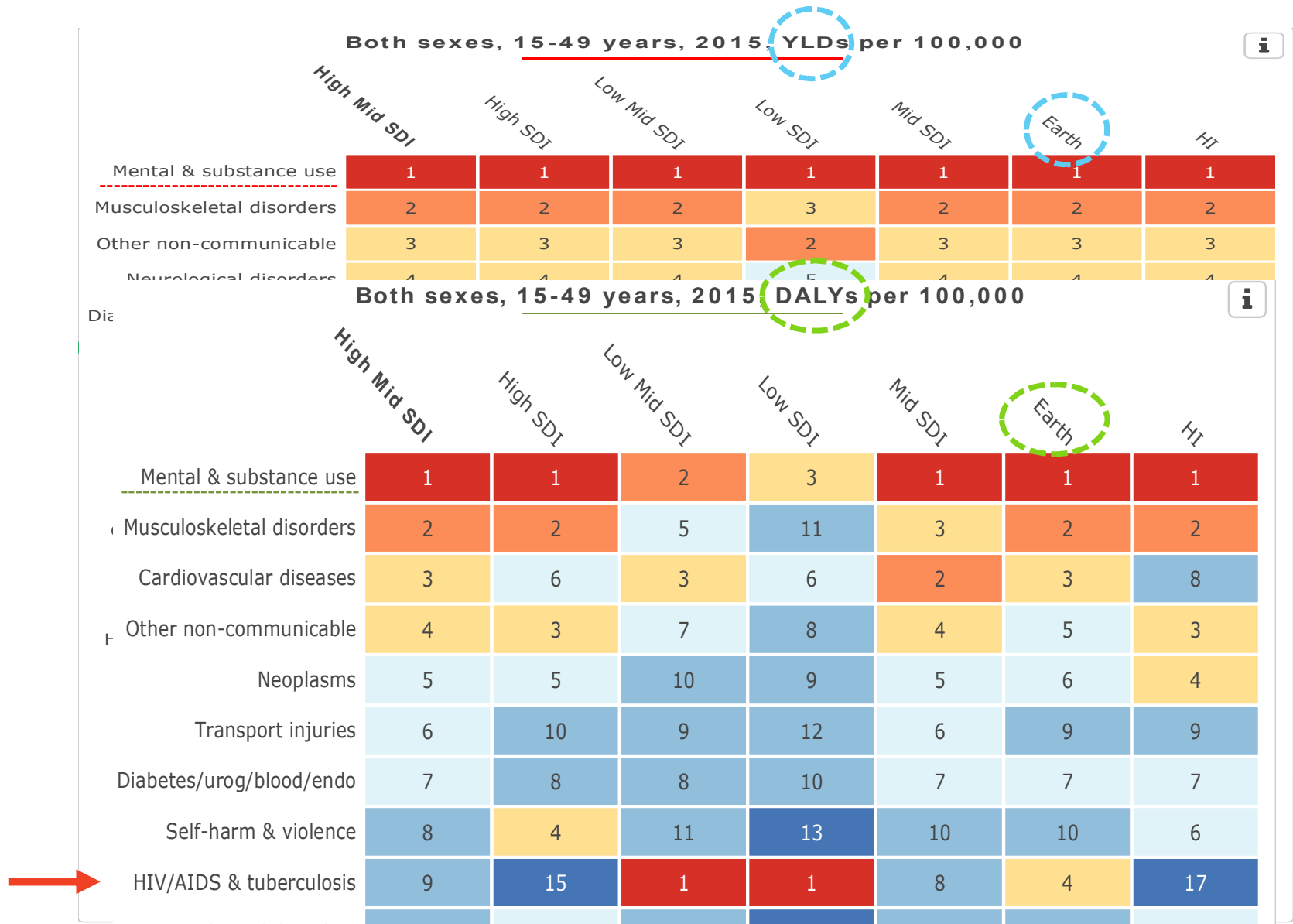
# HIV PREVALENCE 2016



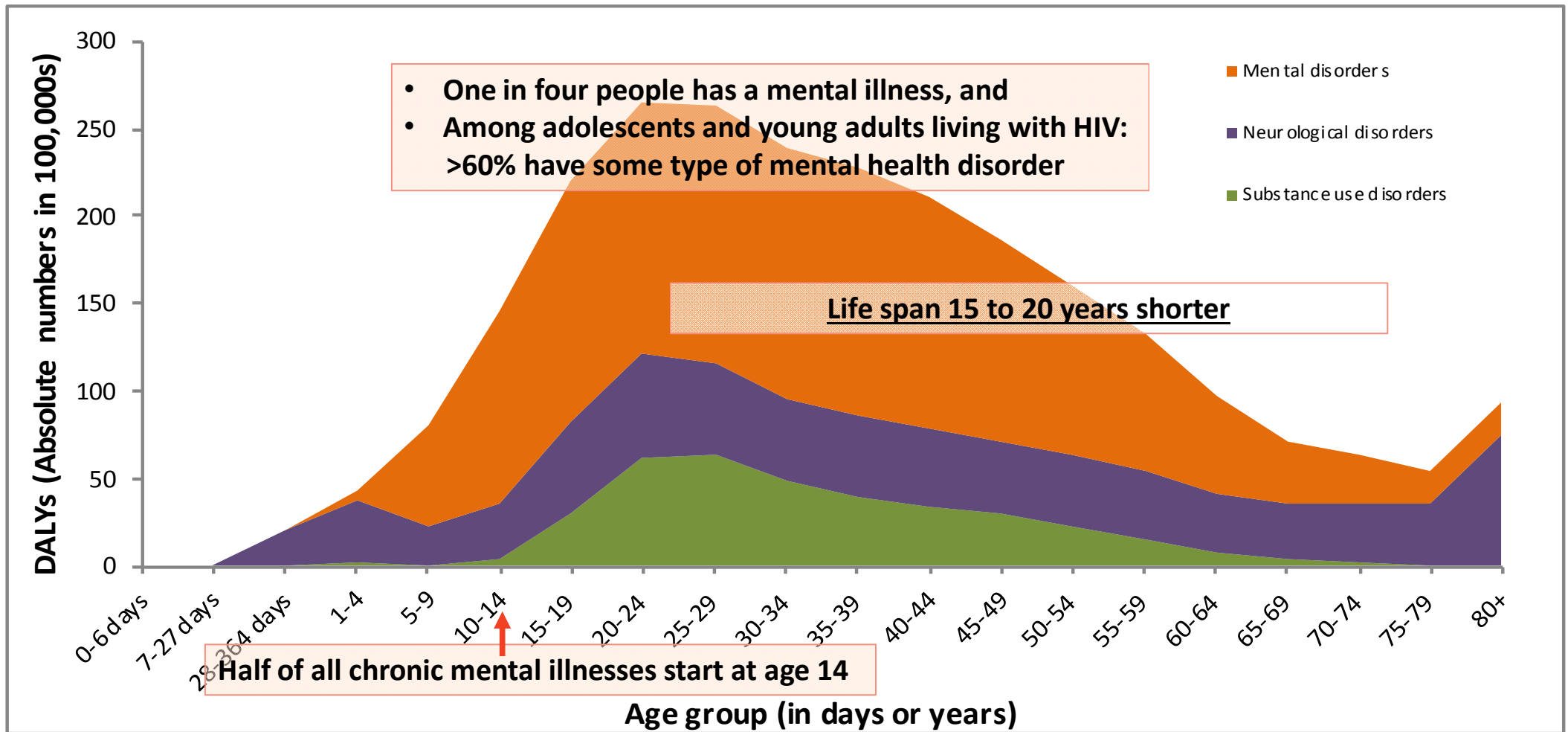
# Burden By Region

## 2016

Source: Institute for Health Metrics and Evaluation (IHME)



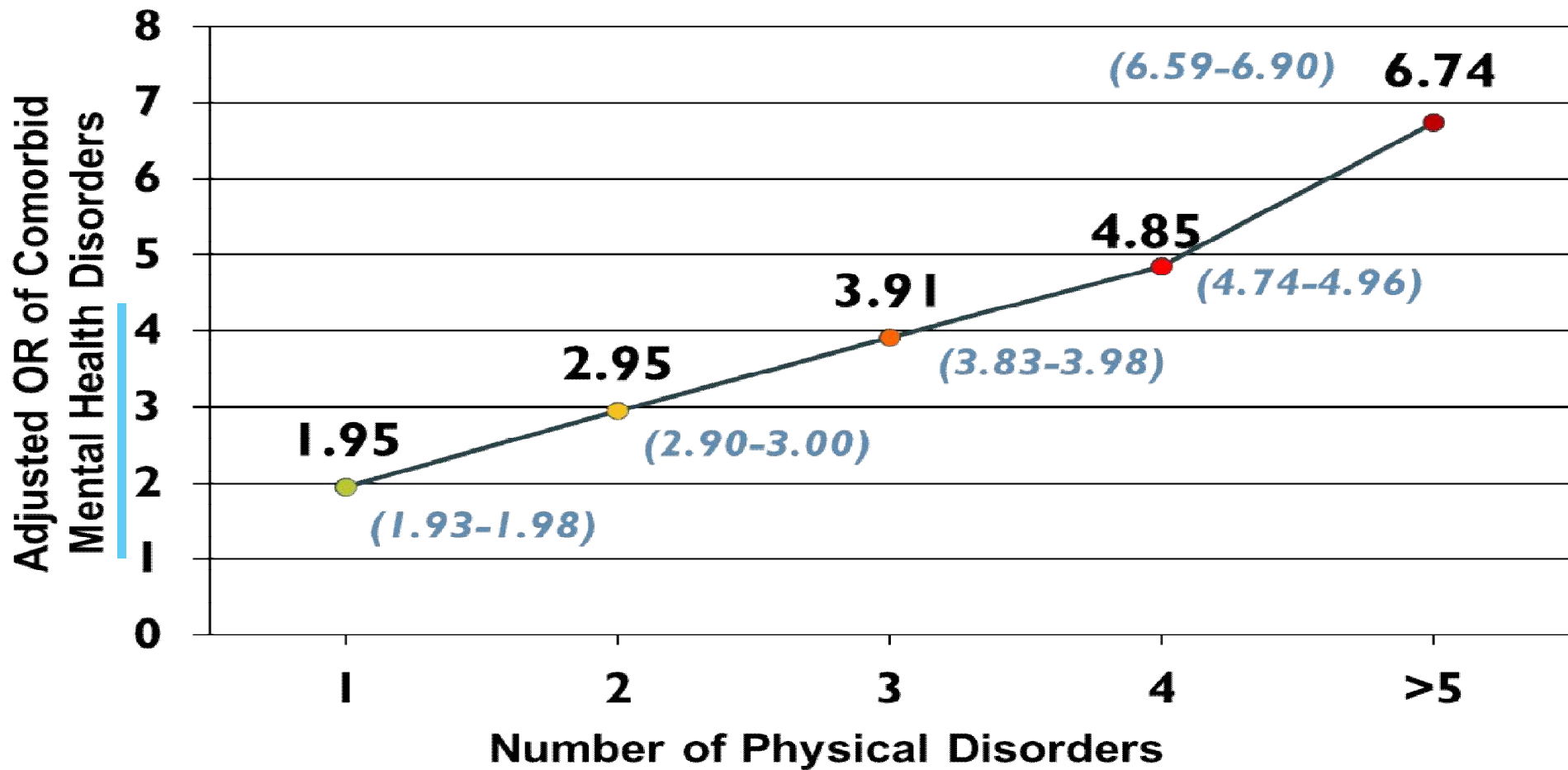
# Global burden of mental, neurological, and substance use disorders, by age



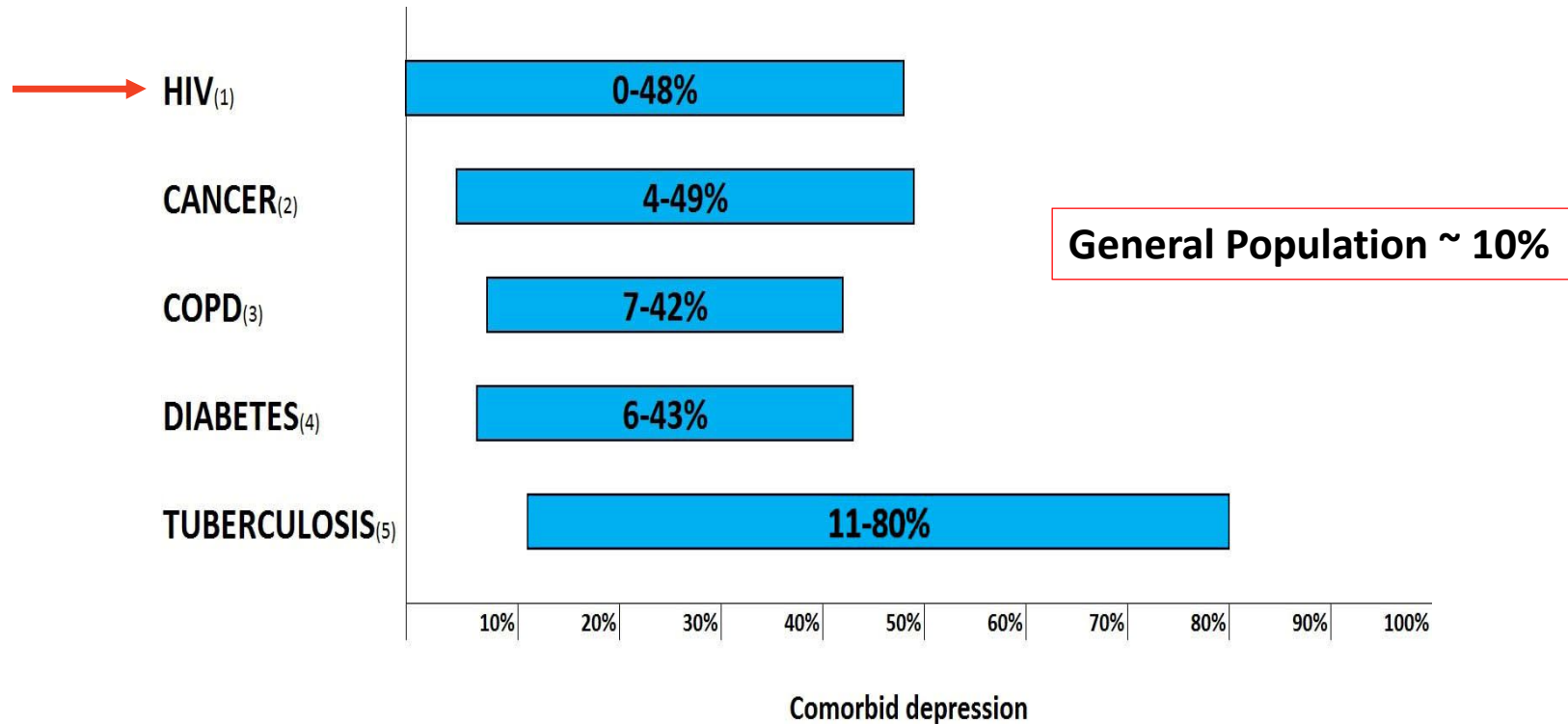
Source: Whiteford et al, Lancet, 2016



## As Physical Health Worsens the Odds of Having a Mental Disorder Increase



# Prevalence of major depression in people with physical illnesses



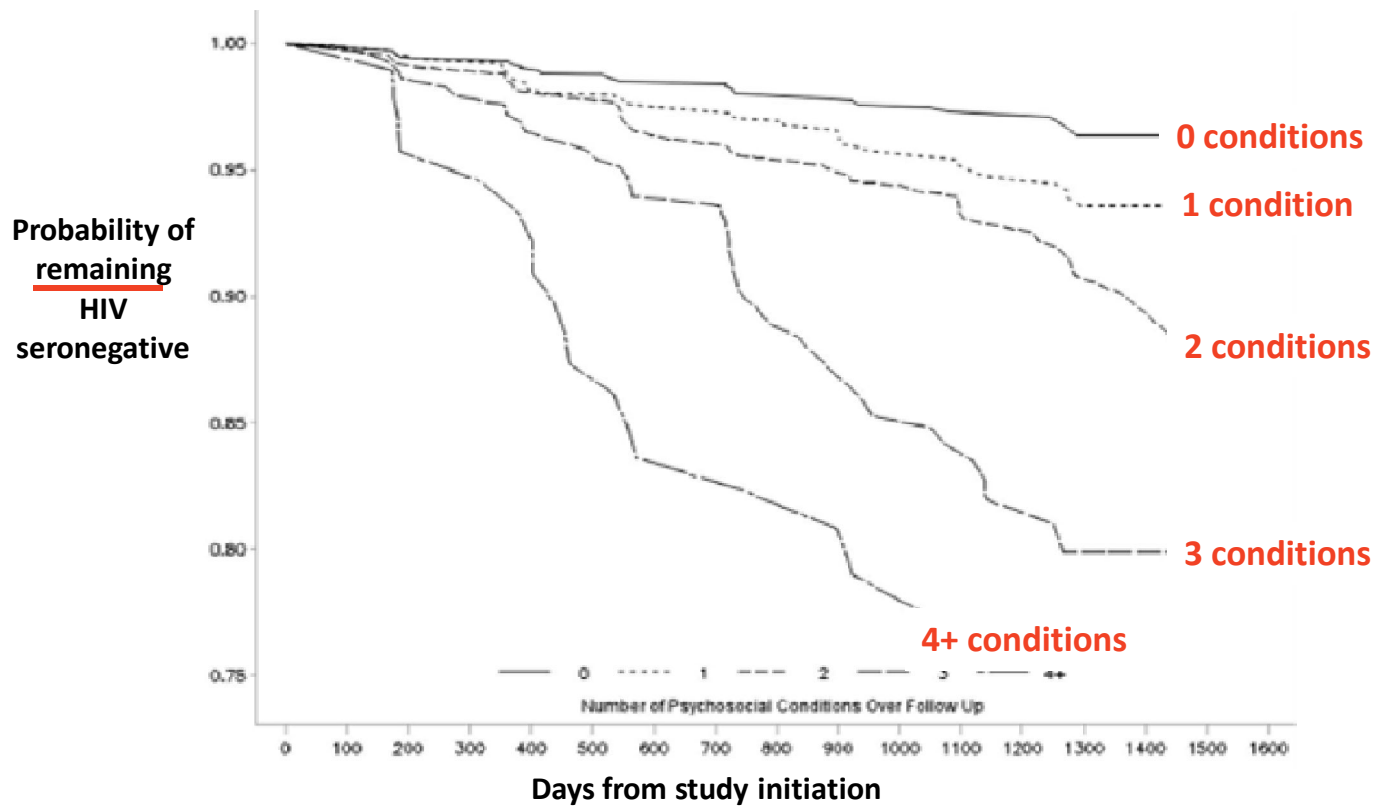
- (1) Rabkin (2008) *Curr HIV/AIDS Rep* 5(4):163-71.
- (2) Walker et al (2013) *Ann Oncol* 24(4):895-900
- (3) COPD – Chronic Obstructive Pulmonary Disease; van Ede et al (1999) *Thorax* 54(8):688-92
- (4) Roy & Lloyd (2012) *J Affect Disord.* 142 Suppl:S8-21
- (5) Sweetland et al (2014) *World Psychiatry* 13(3):325-326

# Mental Health and HIV Prevention

# Mental illness is a risk factor for HIV acquisition

- Mental illness contributes 4 to 10X increased risk for acquiring HIV
  - HIV prevalence in US people with severe mental illness: 2% - 6% vs ~0.5% in the general population
  - Mood disorders + alcohol/substance use + other conditions contribute even higher risk

# Multiple co-occurring psychiatric conditions magnify HIV risk



- 4295 MSM from 6 US cities
- Co-occurring conditions
  - Depressive symptoms
  - Heavy alcohol use
  - Stimulant use
  - Poly drug use
  - Childhood sexual abuse

**Probability of staying HIV negative goes down as number of psychiatric conditions increases**

# Depression influence on risk behaviors and PrEP adherence

Men who have sex with men (MSM) and transgender women (TGW) at risk for HIV infection in iPrEx and iPrEx OLE

## Conclusions:

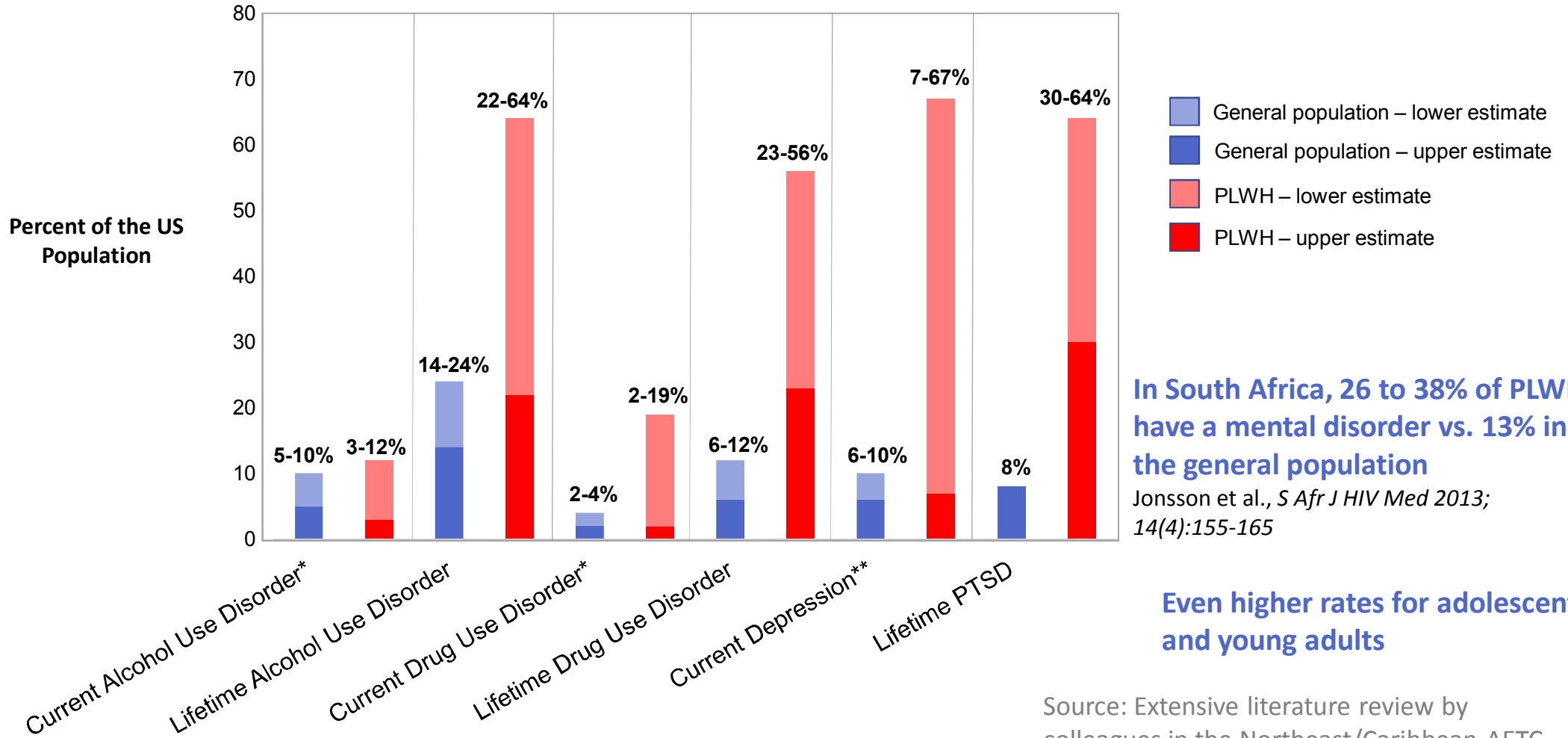
- Higher depression scores were associated with:
  - lower drug-detection
  - condomless receptive anal intercourse
- Thus, depression screening/treatment may key to maximizing PrEP efficacy



Source: Mehrotra et al, AIDS and Behavior, 2016; Defechereux et al. AIDS and Behavior 2016

# People Living with HIV/AIDS

# Rates of selected psychiatric disorders: United States general population vs PLWHA



**In South Africa, 26 to 38% of PLWHA have a mental disorder vs. 13% in the general population**

Jonsson et al., *S Afr J HIV Med* 2013; 14(4):155-165

**Even higher rates for adolescents and young adults**

Source: Extensive literature review by colleagues in the Northeast/Caribbean AETC

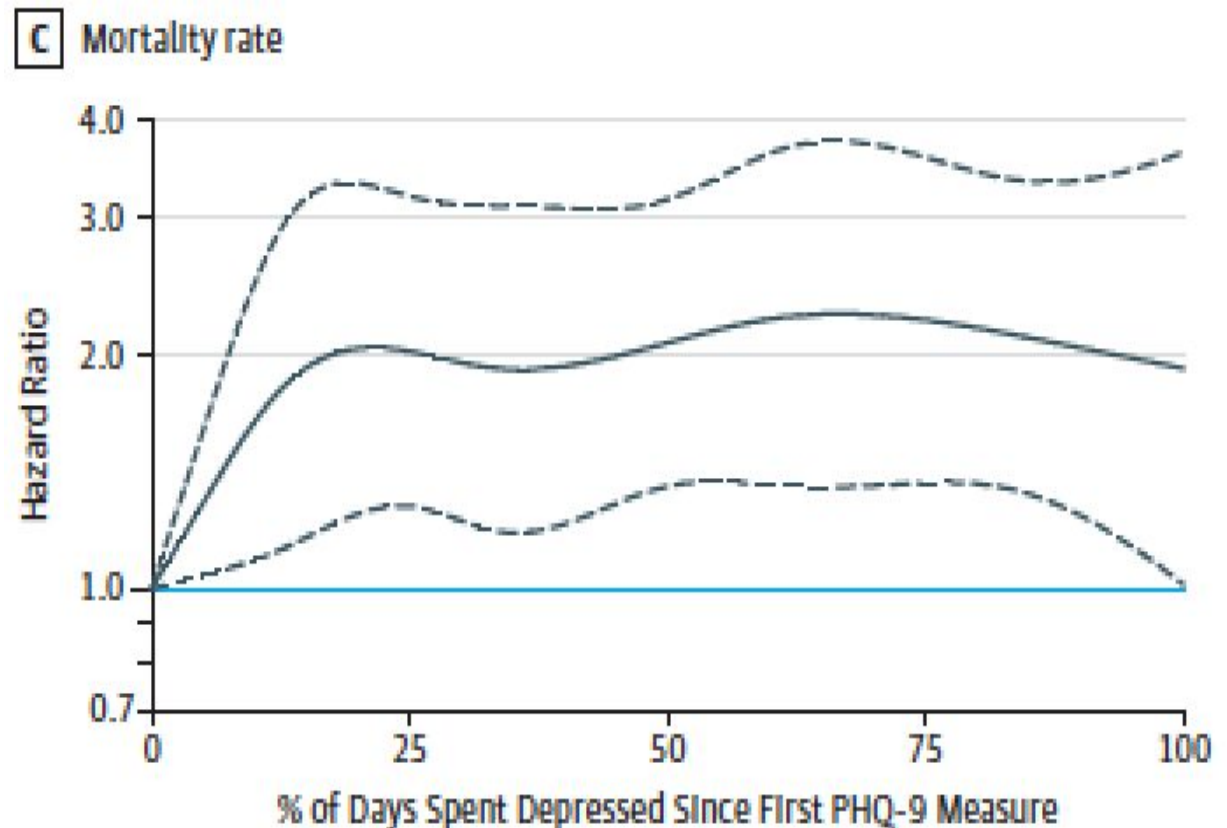


# Depression and mortality among PLWHA

- 1487 women followed for 24 months in Tanzania:
  - **mortality was 6.6%** among women with depressive symptoms **vs 3.7%** without
- 765 HIV+ women at 4 US sites followed for up to 7 years,
  - women with chronic depressive symptoms were **twice as likely to die** as women with limited or no depressive symptoms, even after adjusting for predictors of mortality (CD4 count, ART duration, age)
- In the US WIHS prospective cohort (study N=858),
  - chronic depressive symptoms was associated **>3 times the hazard of mortality** (women on ART) and **>7 times the hazard of mortality** (women not on ART) compared to women on ART with no depression

# Longer depression yields worse HIV care outcomes

- **Dose-response relationship between depression length and HIV outcomes**
- 5927 US individuals living with HIV
- Each 25% ↑ in percentage of days with depression
  - **8% ↑ risk of missing appointment**
  - **5% ↑ risk of detectable VL**
  - **19% ↑ risk of mortality**



Source: Pence et al, JAMA Psychiatry, Feb 21 2018

# Depression and ART adherence

*J Acquir Immune Defic Syndr* • Volume 58, Number 2, October 1, 2011

CRITICAL REVIEW: CLINICAL SCIENCE

## Depression and HIV/AIDS Treatment Nonadherence: A Review and Meta-analysis

*Jeffrey S. Gonzalez, PhD,\*†‡ Abigail W. Batchelder, MPH, MA,\* Christina Psaros, PhD,‡§ and Steven A. Safren, PhD†§*

- 95 independent samples
- Depression significantly associated with non-adherence ( $p < .001$ ;  $r = 0.19$ ; CI: 0.14 - 0.25)

RESEARCH ARTICLE

## Patient-Reported Barriers to Adherence to Antiretroviral Therapy: A Systematic Review and Meta-Analysis

Zara Shubber<sup>1</sup>, Edward J. Mills<sup>2</sup>, Jean B. Nachega<sup>3,4,5</sup>, Rachel Vreeman<sup>6,7</sup>, Marcelo Freitas<sup>8</sup>, Peter Bock<sup>9</sup>, Sabin Nsanzimana<sup>10,11</sup>, Martina Penazzato<sup>12</sup>, Tsitsi Appolo<sup>13</sup>, Meg Doherty<sup>12</sup>, Nathan Ford<sup>12,14\*</sup>

- 125 Studies
- 19,016 patients
- 38 countries

Depression – a barrier for 15% adults, 25% adolescents

*Curr HIV/AIDS Rep* (2014) 11:291–307  
DOI 10.1007/s11904-014-0220-1

CO-INFECTIONS AND COMORBIDITY (CM WYATT AND K SIGEL, SECTION EDITORS)

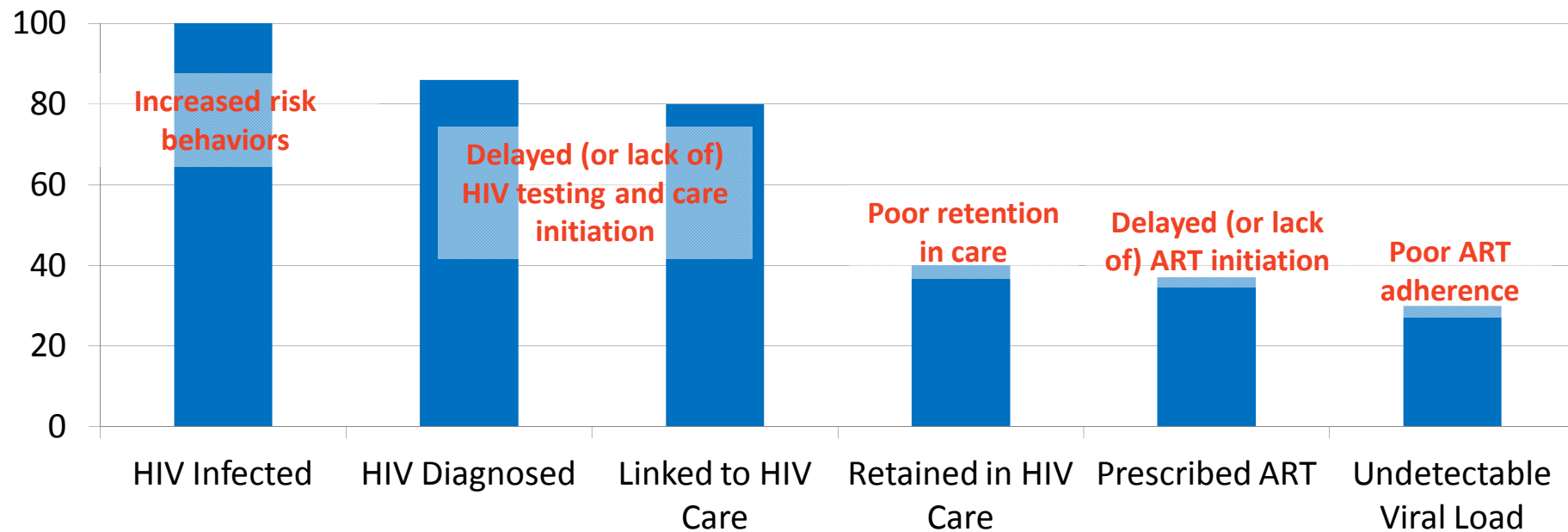
## Depression and Adherence to Antiretroviral Therapy in Low-, Middle- and High-Income Countries: A Systematic Review and Meta-Analysis

*Olalekan A. Uthman · Jessica F. Magidson · Steven A. Safren · Jean B. Nachega*

- 111 independent samples
- Likelihood of achieving good (80%) adherence 42% lower among those with depressive symptoms than those without
- Consistent across country's income group, study design, and adherence rates

# The behavioral pathway is clear

Mental health impairment contributes to:



- All lead to non-optimal HIV treatment and thus, poorer health outcomes (for self and for others)
- Whatever the pathway, it is clear that we need to address mental health problems if we want to improve health outcomes along the HIV prevention and HIV care continua

Source: Bemelmans M et al, J Int AIDS Soc, 2016; Gonzalez JS et al, JAIDS 2011; Uthman et al, Curr HIV/AIDS Rep, 2014; Mayston et al, AIDS, 2012; Krumme et al, J Epidemiol Community Health, 2014; Musisi et al, Int J STD AIDS, 2014; Antelman et al, JAIDS, 2007; Remien et al, AIDS and Behavior, 2007

# Screening and Treatment

# Mental Health Screening Tools

**General Health  
Questionnaire (GHQ-5/12)**

**Generalized anxiety  
disorder scale (GADS)**

**Hamilton rating scale for  
depression (HAM-D)**

**Beck depression inventory (BDI)**

**Patient health  
questionnaire (PHQ-9)**

**Edinburgh postnatal  
depression scale (EPDS)**

**Harvard trauma  
questionnaire (HTQ)**

**Center for Epidemiological Studies  
depression scale (CES-D)**

**Hospital anxiety and  
depression scale (HADS)**

**Children's depression  
inventory (CDI)**

**Substance Abuse and  
Mental Illness Symptoms  
Screener (SAMISS)**

**Self-report  
questionnaire (SQR-20)**

**Kessler psychological  
distress scale (K10)**

**PHQ-9 modified for Adolescents (PHQ-A)**

Name: \_\_\_\_\_ Clinician: \_\_\_\_\_ Date: \_\_\_\_\_

**Instructions:** How often have you been bothered by each of the following symptoms during the past two weeks? For each symptom put an "X" in the box beneath the answer that best describes how you have been feeling.

	Not at all	Several days	More than half the days	Nearly every day
1. Feeling down, depressed, or hopeless?				
2. Little interest or pleasure in doing things?				
3. Trouble falling asleep, staying asleep, or sleeping too much?				
4. Poor appetite, weight loss, or overeating?				
5. Feeling tired or having little energy?				
6. Feeling bad about yourself – or feeling that you are a failure, or that you have let yourself or your family down?				
7. Trouble concentrating on things like school work, reading, or watching TV?				
8. Moving or speaking so slowly that other people could have noticed? Or the opposite – being so fidgety or restless that you were moving around a lot more than usual?				
9. Thoughts that you would be better off dead, or of hurting yourself in some way?				

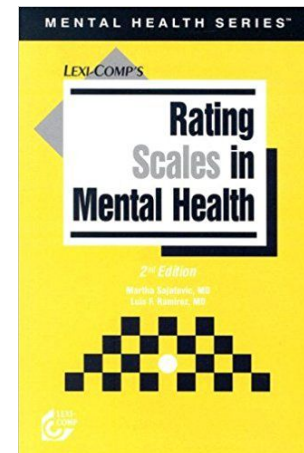
In the past two weeks have you felt depressed or sad most days, even if you felt okay sometimes?  
 Yes  No

If you are experiencing any of the problems on this form, how difficult have these problems made it for you to do your work, take care of things at home or get along with other people?  
 Not difficult at all  Somewhat difficult  Very difficult  Extremely difficult

Has there been a time in the past two weeks when you have had serious thoughts about ending your life?  
 Yes  No

Have you ever in your WHOLE LIFE, tried to kill yourself or made a suicide attempt?  
 Yes  No

\*If you have had thoughts that you would be better off dead or of hurting yourself in some way, please discuss this with your Health Care Clinician, go to a hospital emergency room or call 911.



Source: Ali, PLoS One, 2016, "Validated screening tools for common mental health disorders in low and middle income countries: a systematic review"

# Mental health treatments

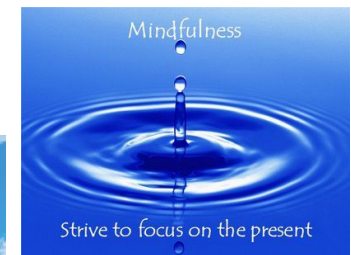
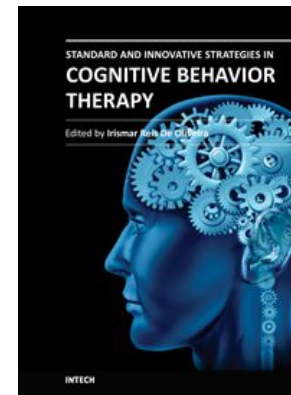
Psychopharmacological (Psychotropic medications)

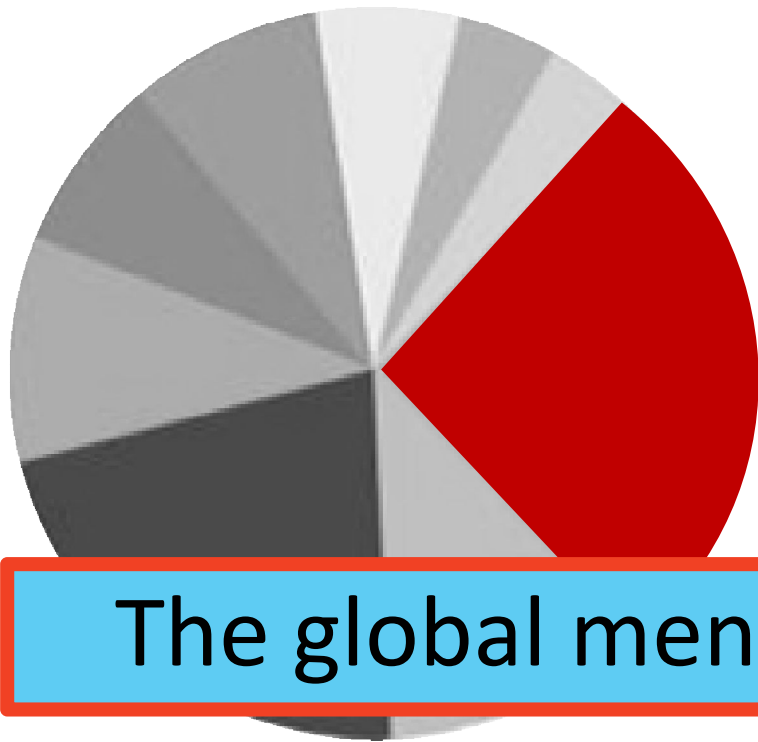
Psychotherapies

- Psychodynamic
- Cognitive-behavioral therapy (CBT)
- Motivational enhancing therapy (MI)
- Interpersonal therapy (IPT)
- Stress-reduction / Mindfulness interventions
- Harm-reduction and Abstinence treatments

**Manualized and tailored across languages and cultures – thus, capable of being scaled up**

**Technology as part of scale-up**





75% of those with mental disorders in Low- and Middle-Income Countries do not receive care

In some settings the available care minimum acceptable standards

STIGMA

The global mental health treatment gap

**1:2 Million**

**IDEAL: 45:100,000**

Switzerland 39; USA 13;

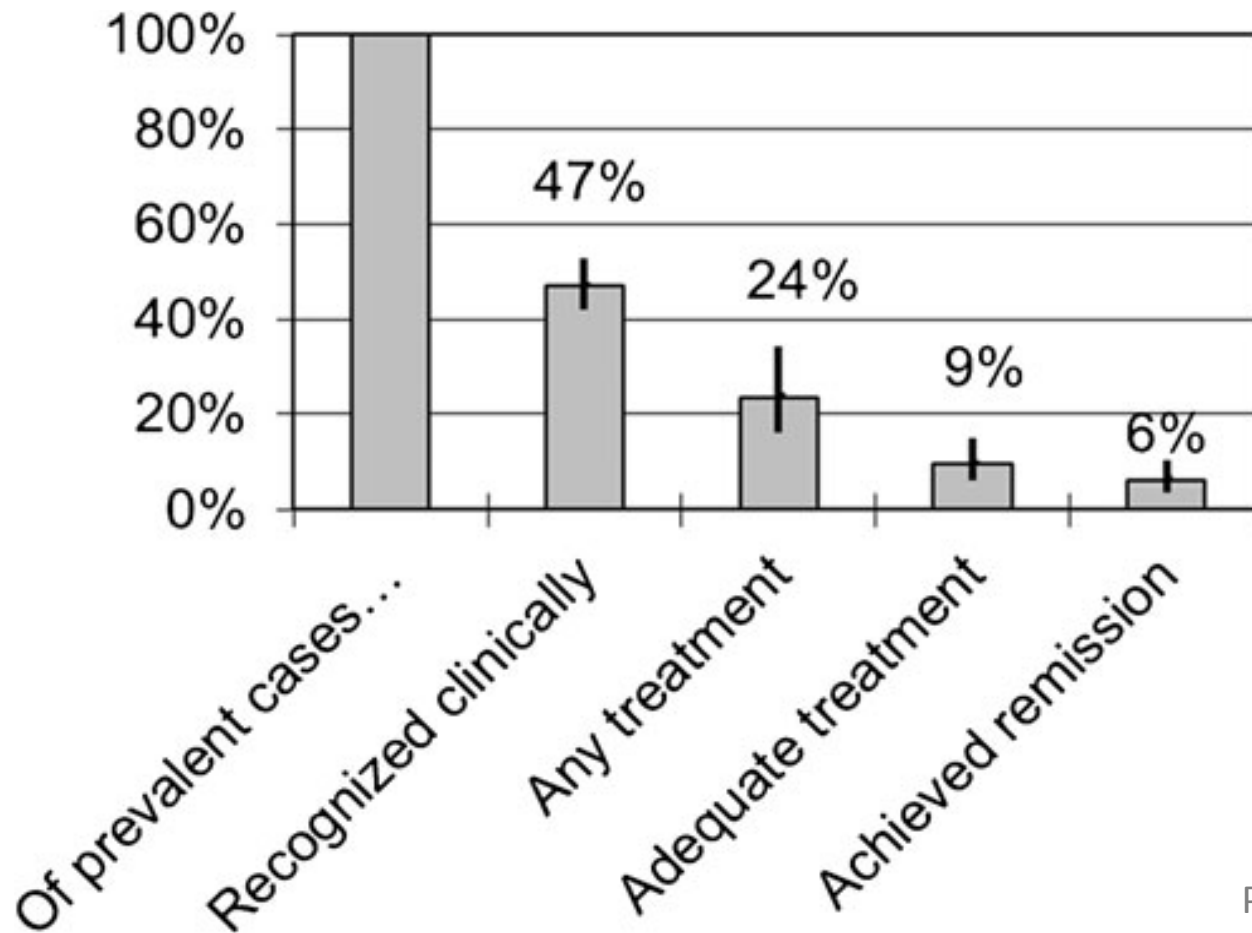
Mozambique 0.052

Prince, *Lancet*, 2007; Demyttenaere K et al, 2004; Wainberg ML et al, 2017

\*



# The depression treatment cascade in the U.S.



**As many as 2 in 3 youth with depression are not identified by their primary care clinicians and fail to receive any kind of care\***

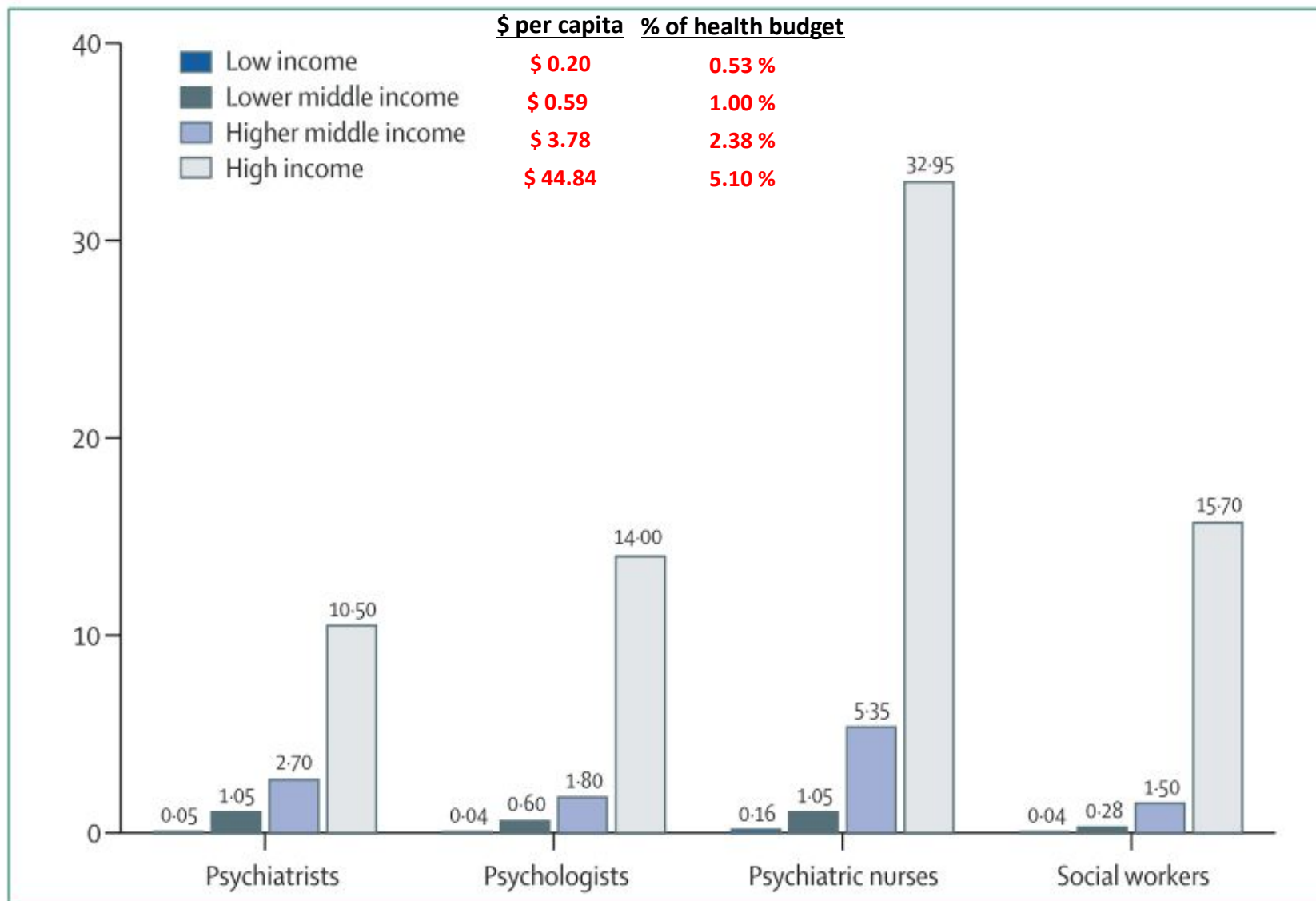
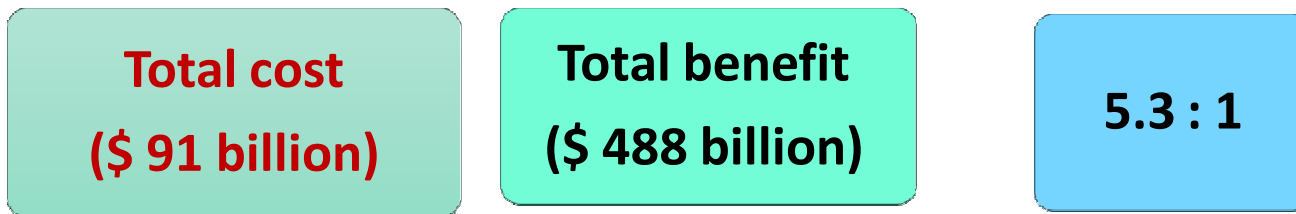
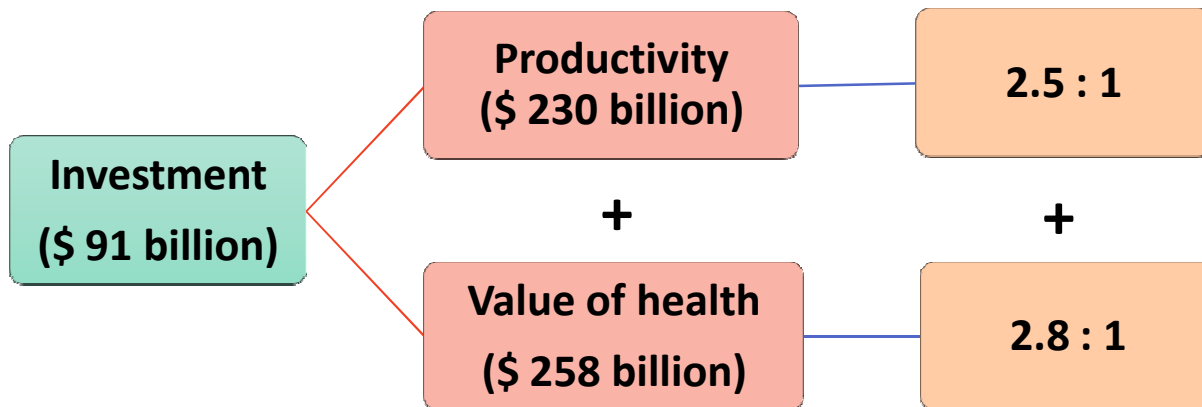
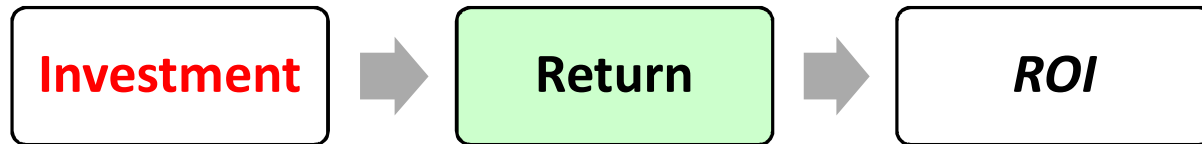


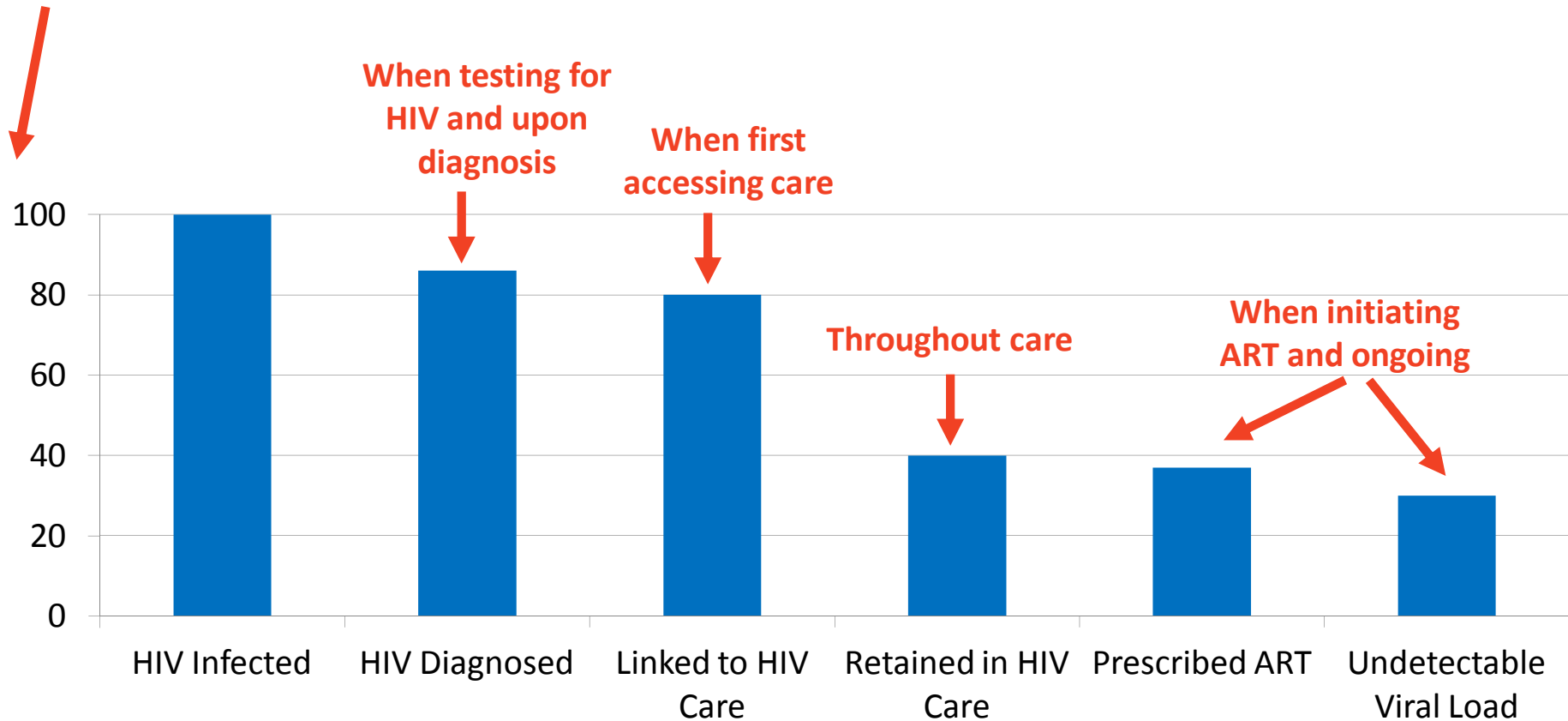
Figure 2: Human resources for mental health in each income group of countries per 100 000 population

# Return on Investment (ROI): Depression treatment



# Opportunities for intervention: Mental health screening and intervening

When accessing STI testing and PrEP



# Research to Practice Gap: Adoption issues

We are very good at producing gems:  
**Evidence-Based Interventions (EBI)**  
through efficacy and effectiveness trials

**Psychotropic  
Medications**



**Interpersonal  
Therapy**

**Motivational  
Enhancing  
Therapy**



**Cognitive  
Behavioral  
Therapy**



**HIV Prevention &  
Adherence to Care  
Interventions**

**Many others...**

# Research to Practice Gap: Adoption & Reach

Some providers quickly adopt EBI, others take time (lagers), some never do.

Some adopt many, some 1 or 2.

And then, is fidelity to the EBI appropriate?

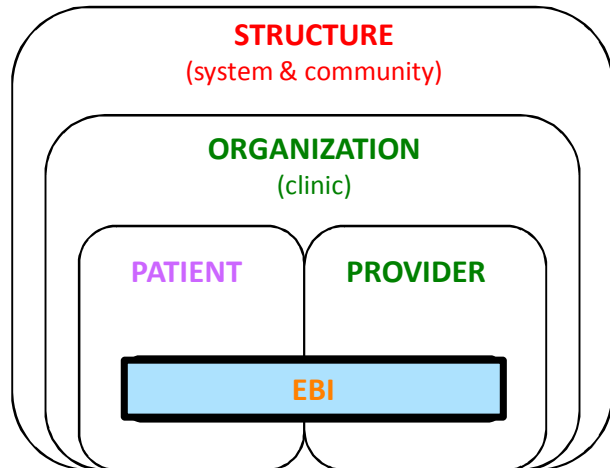
Which ones are the real gems (fidelity)?

And, of course, we need to “engage” our patients and develop a long-term relationship...  
(access, reach, retention)

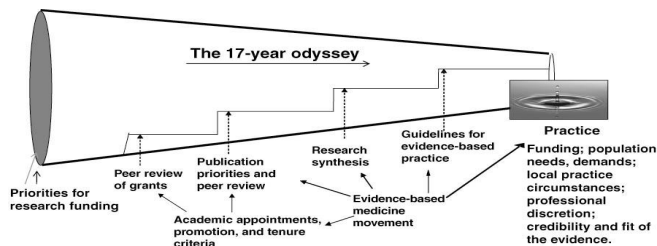
Not always very successfully...



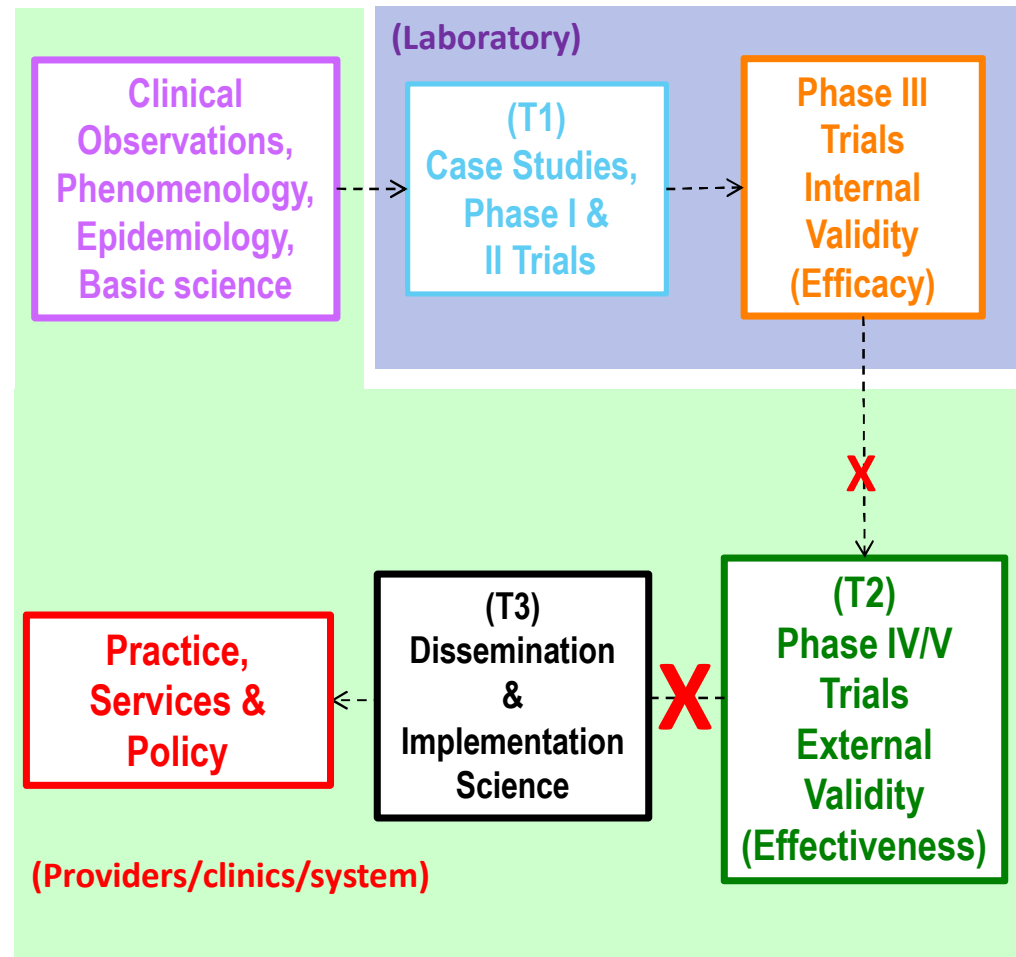
# The answer: Implementation Science



Adapted from Chaudoir et al, 2013



Green L.W., et al. 2009. Annu. Rev. Public Health. 30:151-74



# Addressing the human resources challenge

## Task Shifting / Sharing

MH specialists

Non-specialists

- Reassignment of specific MH assessment and treatment procedures with abbreviated training and ongoing supervision
- Lay personnel (e.g., teachers, community workers) can successfully recognize mental disorders
- Trained and supervised non-specialists can effectively deliver psychopharmacological and psychological treatments

## Integrated Care

Referral / Consultative Model

Co-located Model

Integrated Care Model

## Stepped-care model

- Individual needs are matched to the appropriate level of care
- More intensive intervention is only used if required.

Source: van Ginneken N et al., Cochrane Database Syst Rev. 2013; WHO, 2007; Verdeli H et al, 2008; Rojas et al, Lancet, 2007; Bass et al, BJP, 2006; Patel et al, Lancet, 2007; Araya et al, Lancet, 2003; Patel and Thornicroft, PLoS Med, 2009; GMH-Group, Lancet, 2007; Katon, W., et al. Arch Gen Psychiatry, 1999; Zatzick, D. et al. Gen Hosp Psychiatry, 2011; Rojas et al. Lancet 2007;



What are our strategies to decrease the global mental treatment and research gap?

Mental Health Implementation Research  
Capacity Building

+

Implementation Research

# Our Strategy

1) Train US new investigators to help build a mental health implementation science team NIMH – T32 MH096724 – Columbia University

**Global Mental Health Implementation Science Fellowship**

(Wainberg & Oquendo; 2012-2017; Wainberg & Arbuckle; 2017-2022)

Pamela Scorza, PhD\* (PR: Transgenerational Stress)

Karen Johnson, PhD\*\* (NYC: Homelessness Syndemics)

Sara Davaasambuu, PhD (Mongolia: Adolescent Suicide) (UNICEF)

T32

Liat Helpman, PhD\* (Colombia: Internally Displaced & Trauma)

Sabrina Hermosilla, PhD\*\* (Nepal: Child friendly spaces)

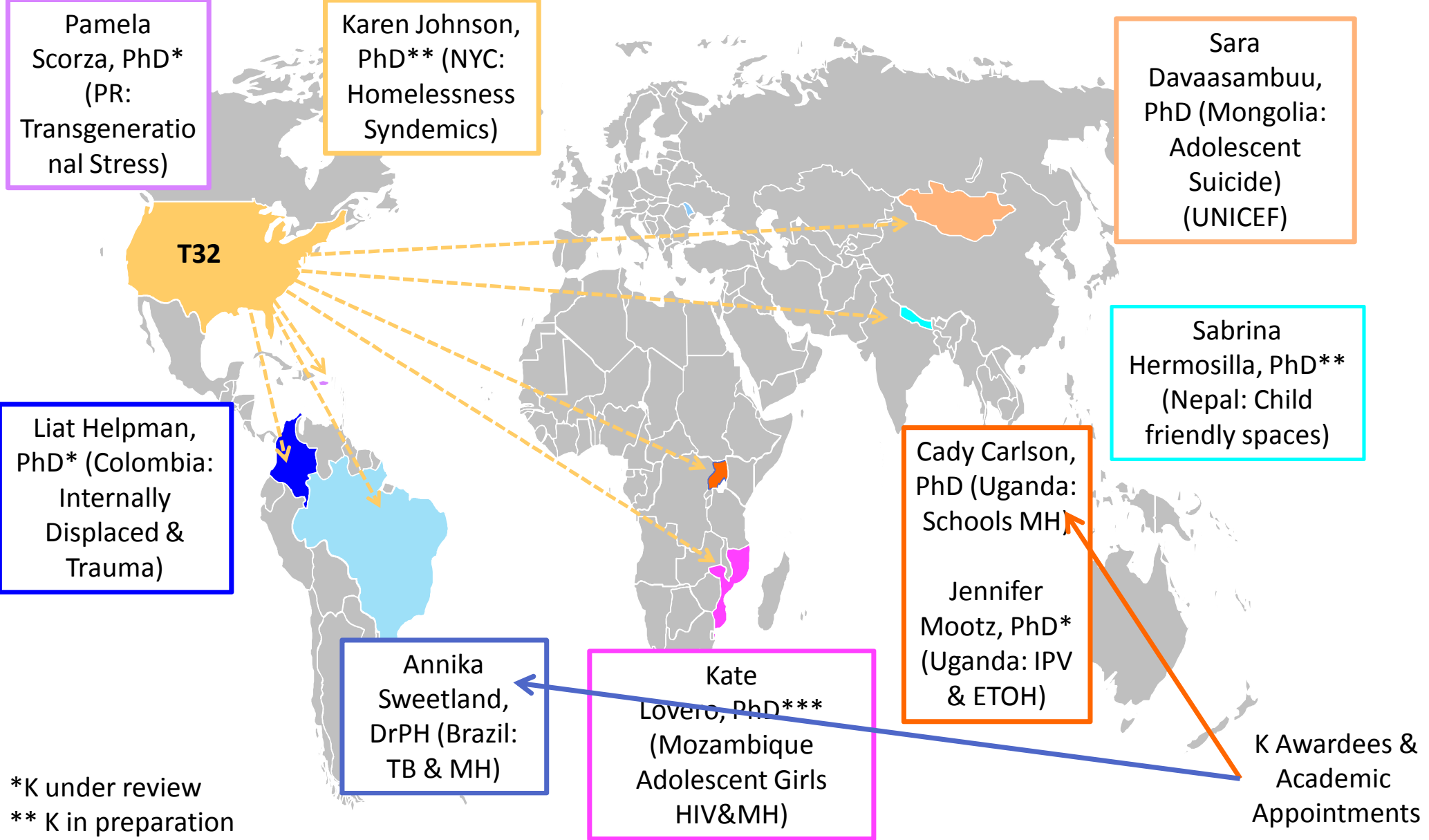
Cady Carlson, PhD (Uganda: Schools MH)  
Jennifer Mootz, PhD\* (Uganda: IPV & ETOH)

Annika Sweetland, DrPH (Brazil: TB & MH)

Kate Lovero, PhD\*\*\* (Mozambique Adolescent Girls HIV&MH)

K Awardees & Academic Appointments

\*K under review  
\*\* K in preparation



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(Wainberg & Oquendo; 2012-2017; Wainberg & Arbuckle; 2017-2022)

2) Develop strong global partnerships

Columbia, Vanderbilt, U. of Pennsylvania, **UNIFESP**, PALOP\*,

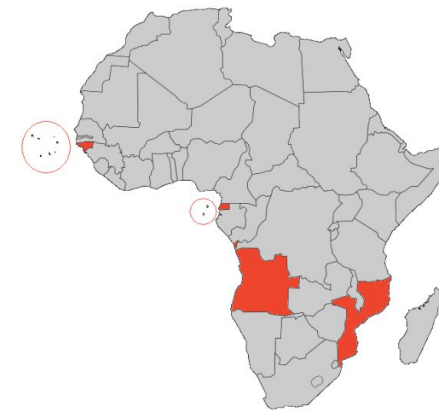
and **Mozambique Ministry of Health** - D43 TW009675

(Wainberg & Oquendo; 2014-2019)

NIMH/Fogarty Center: **PALOP Mental Health Implementation Research Training**

We are training 11 fellows

+ *an NIMH Supplement – they are getting PhDs*



Angola, Cape Verde, Guinea-Bissau, Mozambique, São Tomé and Príncipe, and Equatorial Guinea.

\*PALOP – Portuguese-speaking African countries – *Países Africanos de Língua Oficial Portuguesa*

# Expand/Replicate the Global Strategy

**PRIDE sSA** - *Partnerships in Research to Implement and Disseminate Sustainable and Scalable Evidence Based Practices in sub-Saharan Africa*  
U19MH113203 – 2017-2022; Wainberg & Oquendo

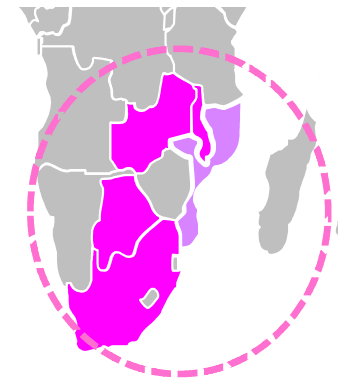
## Capacity Building Component:

**Botswana, Malawi, Mozambique,**

**South Africa & Zambia**

## Research Component:

**Mozambique**



U19

**IN EACH COUNTRY: MINISTRY OF HEALTH, UNIVERSITIES, NGOS**

Pathway 1 – Usual Care:

District Level Care: Psychiatric Technicians

# Mozambique

29 million inhabitants - 70% Rural – 7<sup>th</sup> poorest country  
13-19% HIV

13 Psychiatrists - 120 Psychologists

250 Psychiatric Technicians (Task-Shifting)

**(Global Mental Health Treatment Gap)**

Pathway 3 – Community & Clinic Level Care  
both by CHWs & Clinic Providers

\* Global – low resources

\*CHW: Community Health Workers

## Three Pathways

Goal: Determine best

strateg

★ com

menta

(Med

Screen: 40,000

Patients: 14,000

# Cluster Randomized Trial: GOALS

- Identify the most effective pathway for the Mozambican system of care
- The delivery pathway showing the highest overall effectiveness will then be scaled-up in clinics from the other two non-superior arms for two additional “cross-over” years.
- Throughout the study, mixed-methods process evaluation will examine implementation, sustainability, and scale-up.
- Inform subsequent scale-up efforts in LMICs.

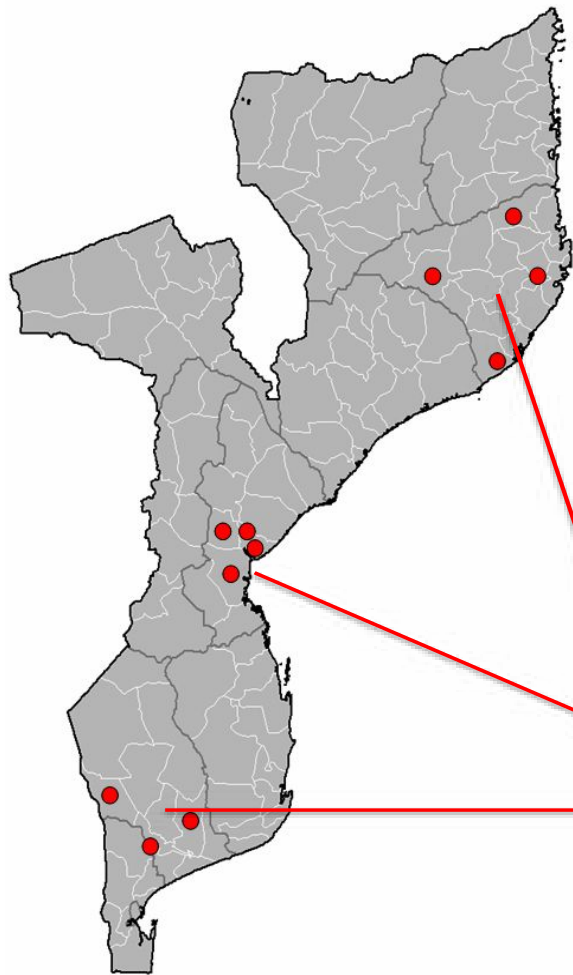
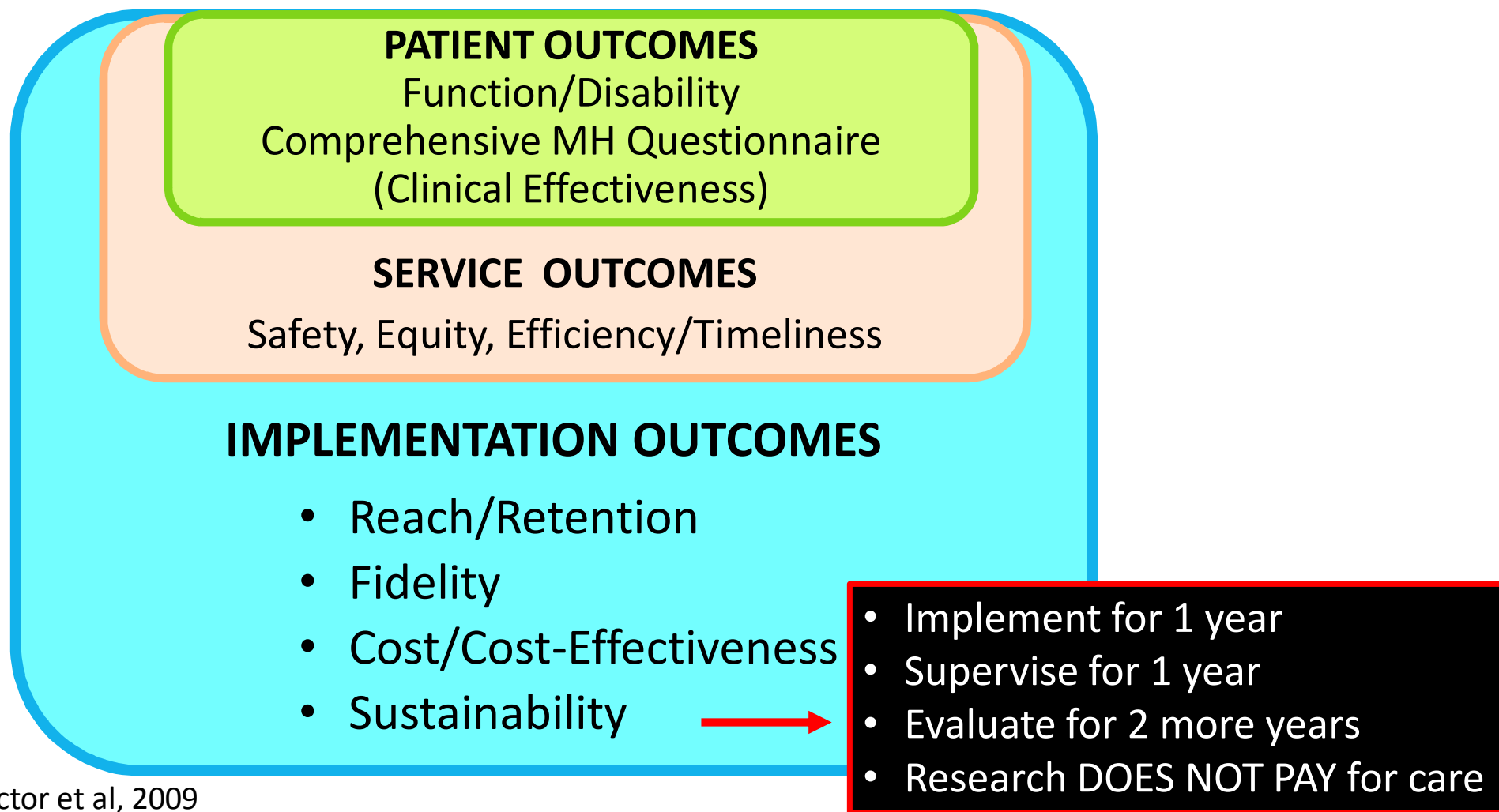


Table 2. Research Sites

Location/Province	Urban	Peri-Urban	Rural	Total
Nampula (North)	1	2	9	12
Sofala (Central)	3	3	6	12
Gaza (South)	2	1	9	12
Total	6	6	24	36

To determine, 36 clinics/3 Provinces, the most cost-effective delivery pathway in terms of





# COST & COST EFFECTIVENESS

- Main analysis: micro-costing approach to identify, measure, and place a monetary valuation on all non-research resources required to implement each arm – e.g., training and supervision, delivery and maintenance.
  - Incremental cost-effectiveness ratios will be used to determine arm superiority (numerator = difference in mean costs between arms; denominator= difference in reach and reduction of symptoms).
- Broader societal perspective, assessing intervention impact on workplace, productivity, criminal activity, road traffic accidents, general health care and social services, and non-statutory care.
  - Short Form Health Survey (SF-12) to construct a quality-adjusted life year (QALYs)

# U19 Scale-up Research Component

- Sustainable – leveraging existing human resources
- Decreasing the burden of mental illness without increasing burden –  
¼ of the health care force to become MH providers
- Provide **comprehensive** mental health care
- Implementing evidence-based interventions
- Applying previous research findings
  - ✓ 100% Task-Shifting/Sharing
  - ✓ Integrated in community-based health care
  - ✓ Stepped Care
  - ✓ Lengthier interventions – meds and sequential short term interventions according to symptom severity

# Take Home Messages: Mental Health Matters!

- Mental health problems (ranging from distress to SMI) are elevated among people at-risk for HIV and those living with HIV
- Mental health problems contribute to HIV acquisition and poor outcomes along the HIV treatment continuum
- We have the necessary assessment (screening) tools and efficacious treatments. However, we need to prioritize mental health treatment with appropriate resources to address the current gap
- In the HIV context, promising advances have been made integrating mental health care into primary care (via task-shifting, and stepped-care interventions)
- Integrating mental health assessment and treatment into HIV care should be routine and is essential to achieving our “90-90-90” and “EtE” goals
- Stronger advocacy for the human right to the highest attainable standard of MENTAL health is urgently needed
- **It is cost-effective for systems of care to scale up psychiatric evidence-based interventions**



**PRIDE sSA**  
**2018 CONFERENCE**



Thank you  
Gràcies